


|   |   |  |
|---|---|--|
| FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE<br>(REV. 1094)   |   | ATTORNEY'S DOCKET NUMBER<br>M0765.70061US00  |
| TRANSMITTAL LETTER TO THE UNITED STATES<br>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br>CONCERNING A FILING UNDER 35 U.S.C. 371  |   | U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br><div style="font-size: 1.5em; font-weight: bold; text-align: center;">10/509841</div> |
| INTERNATIONAL APPLICATION NO.<br>PCT/US2003/010938  | INTERNATIONAL FILING DATE<br>09 April 2003 (09.04.2003) | PRIORITY DATE CLAIMED<br>09 April 2002 (09.04.2002)  |
| TITLE OF INVENTION<br>METHODS AND COMPOSITIONS FOR TREATING ALZHEIMER'S DISEASE   |   |  |
| APPLICANT(S) FOR DO/EO/US - HYMAN, Bradley, T.; STRICKLAND, Dudley; KINOSHITA, Ayae; WHELAN, Christa; REBECK, William G.  |   |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |   |  |
| <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This express request to begin national procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)).           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(C)(5)).</li> </ol> |   |  |
| <b>Items 11. To 16. Below concern document(s) or information included:</b>  |   |  |
| <ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98 with references.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</li> <li>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or information:           <div style="margin-left: 20px;">             Form PCT/IB/308<br/>             Copy of PCT Published Application w/Search Report<br/>             Copy of International Search Report<br/>             Express Mail Label No. EL960700897US           </div> </li> </ol>   |   |  |
| Mailed: <u>30</u> September 2004  |   |  |

|   |                     |  |                              |
|---|---------------------|--|------------------------------|
| U.S. APPLICATION NO. (If known, see 37 CFR 1.101) <b>10/509841</b>  |                     | INTERNATIONAL APPLICATION<br>PCT/US2003/010938   |                              |
| 21. <input checked="" type="checkbox"/> The following fees are submitted:<br><b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b><br>Neither international preliminary examination fee (37 CFR 1.482)<br>nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO<br>and International Search Report not prepared by the EPO or JPO <span style="float: right;">\$1080.00</span><br><br>International preliminary examination fee (37 CFR 1.482) not paid to<br>USPTO but International Search Report prepared by the EPO or JPO <span style="float: right;">\$920.00</span><br><br>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but<br>but international search fee paid to USPTO (37 CFR 1.445(a)(2)). paid to USPTO <span style="float: right;">\$770.00</span><br><br>International preliminary examination fee paid to USPTO (37 CFR 1.482)<br>But all claims did not satisfy provisions of PCT Article 33(1)-(4) <span style="float: right;">\$730.00</span><br><br>International preliminary examination fee paid to USPTO (37 CFR 1.482)<br>and all claims satisfied provisions of PCT Article 33(1)-(4) <span style="float: right;">\$100.00</span><br><br><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> |                     | ATTORNEY'S DOCKET NUMBER<br><b>M0765.70061US00</b><br>CALCULATIONS <small>PTO USE ONLY</small>   |                              |
|   |                     | \$ 770.00  |                              |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 X 30<br>Months from the earliest claimed priority date (37 CFR 1.492(e)).   |                     | \$   |                              |
| <b>CLAIMS</b>   | <b>NUMBER FILED</b> | <b>NUMBER EXTRA</b>  | <b>RATE</b>                  |
| Total Claims  | 28 - 20 =           | 8  | X \$18.00                    |
| Independent Claims  | 5 - 3 =             | 2  | X \$86.00                    |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)   |                     |  | + \$290.00                   |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |                     |  | \$1086.00                    |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above<br>Are reduced by 1/2.  |                     |  | \$ 543.00                    |
| <b>SUBTOTAL =</b>   |                     |  | \$ 543.00                    |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30<br>Months from the earliest claimed priority date (37 CFR 1.492(f)).   |                     |  | \$                           |
| <b>TOTAL NATIONAL FEE =</b>   |                     |  | \$ 543.00                    |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be<br>accompanied by an appropriate coversheet (37 CFR 3.28, 3.31). \$40.00 per property +  |                     |  | \$                           |
| <b>TOTAL FEES ENCLOSED =</b>  |                     |  | \$ 543.00                    |
|   |                     |  | Amount to be:<br>refunded \$ |
|   |                     |  | charged \$                   |
| a. <input checked="" type="checkbox"/> A check in the amount of <u>\$543.00</u> to cover the above fees is enclosed.<br><br>b. <input type="checkbox"/> Please charge by Deposit Account No. _____ In the amount of \$ ____ to cover the above fees.<br>A duplicate copy of this sheet is enclosed.<br><br>c. <input checked="" type="checkbox"/> The commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit<br>Account No. 23/2825. A duplicate of this sheet is enclosed.<br><br>d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not<br>be included on this form. Provide credit card information and authorization on PTO-2038.   |                     |  |                              |
| <b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b))<br/>         must be filed and granted to restore the application to pending status.</b>   |                     |  |                              |
| SEND ALL CORRESPONDENCE TO:<br><br>WOLF, GREENFIELD & SACKS, P.C.<br>600 Atlantic Avenue<br>Boston, Massachusetts 02210<br>Tel: (617) 720-3500<br><br>CUSTOMER NUMBER <b>23628</b>  |                     | <div style="text-align: center;"> <br/>           SIGNATURE<br/>           John R. Van Amsterdam<br/>           NAME<br/><br/>           40,212<br/>           REGISTRATION NO.         </div> |                              |